



**Jamaica Stock Exchange**  
e-Campus

# POST-GRADUATE CERTIFICATE IN COMPLIANCE AND INFORMATION GOVERNANCE



GDPR

**Data Protection**

**Information Governance  
and Security Principles**

**Company Compliance Management  
and Administration**

**Anti Money Laundering  
and Fraud Prevention**



(876) 967-3271

[jseecampus@jamstockex.com](mailto:jseecampus@jamstockex.com)

@jseecampus





# PROFESSIONAL POST-GRADUATE CERTIFICATE IN COMPLIANCE AND INFORMATION GOVERNANCE

## WHO SHOULD ATTEND AND WHAT TO EXPECT

Current and proposed regulations will impose new penalties and liabilities on companies who fail to comply with its provisions. The prospect of such liabilities and penalties requires that companies reform their approach to compliance and internal governance of personal data processing to ensure that the new standards of data protection that the GDPR imposes are complied with. Many organisations will be required to appoint a Data Protection Officer; all organisations have to significantly enhance their compliance functions in order to ensure that the obligations of Data Protection Impact Assessments and data protection by default and design are complied with.

The Professional Post Graduate Certificate in Compliance and Information Governance has been carefully designed to provide Data Protection Officers and Compliance Officers with the professional qualities and expert knowledge of the varying laws including the data protection law along with guidance on practical application that they will need to implement in order to discharge their requirements under the DPR.

## WHY STUDY?

The Professional Post-graduate Certificate in Compliance and Information Governance is a blended learning course that has been specifically designed to meet the needs of professionals already working in Compliance, Data Protection and/or Information Governance.

The programme will not only provide you with valuable knowledge of current law and proposed developments to the law and to the principles of compliance and information governance, it will also enhance your ability to advise upon compliance, information governance and data protection. This programme will challenge you to undertake critical evaluation of the laws within the varying jurisdictions and to consider the application of information governance and security to your own organisation.

## COURSE OFFERINGS

1. Data Protection
2. Company Compliance Management and Administration
3. Anti Money Laundering and Fraud Prevention
4. Information Governance and Security Principles



## DATA PROTECTION

### PROGRAMME LEARNING OUTCOMES:

- Understand what is data protection generally and the ways not having a mechanism to protect data can negatively impact an organization;
- Know the risk and reward relationship of a prudent data control policy within an organization;
- Know what are the trends in the data protection industry;
- Analyze and critique the impact of global data protection legislations on a company's operations.
- Key definitions within the legislation and how they have been interpreted and applied.
- The Data Protection Principles which govern legal regulation and practice.
- Gain an understanding of individual and organisational responsibilities under the GDPR and the Jamaica Data Protection Act (Soon to be Promulgated), particularly the need for effective record-keeping.
- Gain an understanding of the new rights available to data subjects and the implications of those rights with the GDPR and Jamaica's Data Protection Act (soon to be promulgated).
- Be better placed to support their organisation in processing customer data in compliance with the GDPR and Data Protection Act (soon to be promulgated).







## COMPANY COMPLIANCE MANAGEMENT AND ADMINISTRATION

### PROGRAMME LEARNING OUTCOMES:

- Based on critical assessment, advice on the use of risk and compliance frameworks as a basis for appraising, evaluating, and supporting risk and compliance management.
- Critically compare and evaluate approaches to risk and compliance management.
- Evaluate and critically assess the management of risk and compliance and provide professionally appropriate advice to those responsible for governance.
- Critically examine and advise on the impact of the business environment on risk and compliance with regard to legislation, policy and industry changes.
- Critically evaluate the impact of corporate conduct, behaviours and culture on risk and compliance management practices.
- Develop blueprint for establishing a compliance programme.
- Defining what Compliance means in your organization and how to address your organization's compliance needs.
- Understanding of what drives your organization's compliance exposures domestically and internationally.
- Developing the tools and know-how to design and implement an entire Compliance programme within your organization.



## ANTI MONEY LAUNDERING AND FRAUD PEVENTION

### PROGRAMME LEARNING OUTCOMES:

- Understand Money Laundering, Terrorism Financing and Financial Sanctions
- Evaluate vulnerabilities of financial institutions to money laundering and terrorism financing
- Have a sound and practical understanding of anti-money laundering and combating terrorist financing in practice
- Articulate anti money laundering and combating terrorist financing – legal and regulatory structures
- Determine management obligations and the risk-based approach to money laundering and terrorist financing.
- Discriminate the major sources of money laundering and terrorist financing.
- Evaluate key components of the Jamaican legal and regulatory regime
- Demonstrate an understanding of the practical day-to-day requirements for robust anti-money laundering operations
- Justify realistic ways of meeting the anti-money laundering obligations placed upon your organization.
- Engage in appropriate conversations in articulating the company's position on money laundering and fraud prevention.







## INFORMATION GOVERNANCE AND SECURITY PRINCIPLES

### PROGRAMME LEARNING OUTCOMES:

Candidates should be able to demonstrate knowledge and understanding of Information Governance and Security Management Principles and techniques. Key areas are:

- Knowledge of the concepts relating to information security management (confidentiality, integrity, availability, vulnerability, threats, risks, countermeasures)
- Understanding of current national legislation and regulations which impact upon information security management
- Awareness of current national and international standards, frameworks and organisations which facilitate the management of information security.
- Understanding of the current business and common technical environments in which information security management has to operate.
- Knowledge of the categorisation, operation and effectiveness of controls of different types and characteristics.



## ABOUT THE JAMAICA STOCK EXCHANGE E-CAMPUS



Jamaica Stock Exchange  
e-Campus

The JSE e-Campus provides a web-based e-learning system that allows students to attend Courses online. However, students also get the opportunity to interact with lecturers and tutors face-to-face in lively classroom sessions.

### Course Delivery

- Online lectures and tutorials/case studies/online quizzes;
- Webinars and videos;
- At least one (1) eight-hour face-to-face session per course
- Final examination or projects for assessment
- Topical Workshops
- Interact with leading industry experts and academicians

Our Post Graduate Diploma in Financial Services Management is a continuing professional education programme for individuals operating in the capital markets, dealing in investment products and for professionals in the financial services sector.

This programme currently has fourteen (14) Courses comprised within three (3) Modules, each of which are also offered as short- term Post-Graduate Certificate Courses at two levels: Certificate of Completion and Certificate of Participation.





# POST-GRADUATE CERTIFICATE IN COMPLIANCE AND INFORMATION GOVERNANCE

## MINIMUM ENTRY REQUIREMENTS

A First Degree in Management Studies, Finance or any other related Social Sciences Degree; OR

The Jamaica Securities Course (Online) or any other FSC-approved first-level Course and at least three (3) years relevant experience within the financial services sector or a related field.

For mature Post Graduate Diploma applicants: At least eight (8) years industry experience and a written Statement of Intent.

Full Programme Duration: 6 months

Tuition fee: JMD\$230,000 | USD\$1,650

Ancillary fee: JMD\$25,000 | USD\$180

Examination fee: JMD\$25,000 | USD\$180

Registration fee: JMD\$2,500 | USD\$18

Jam Copy Tariff: JMD\$1,200 | USD\$9

Individual Certificates (6 weeks): \$65,000

Jamaica Stock Exchange e-Campus

40 Harbour Street, Kingston

Tel: 876- 967-3271 • Fax: 876-924-9090

E-mail: [jseecampus@jamstockex.com](mailto:jseecampus@jamstockex.com)

