

SHAREHOLDING OF SENIOR OFFICERS OF GHL AS AT SEPTEMBER 30, 2021

NAME	SHARES	%HOLDING
Ali, Sasha	0	0.00%
Avey, Richard	68,586	0.030%
Ford, Brent	436,070	0.188%
Kelshall Lee, Karen	4,971	0.002%
Maraj, David	0	0.00%
Sadler, Alan	12,363	0.005%
Traboulay, Paul	275,293	0.119%
Tewari, Ravi	240,416	0.104%
Total	1,037,699	0.447%



Krystal Baynes
Assistant Corporate Secretary

Dated: November 8, 2021

SHAREHOLDING OF DIRECTORS OF GHL AS AT SEPTEMBER 30, 2021

	NAME	SHARES	%HOLDING
1.	Ahamad, Imtiaz ^{i ii}	9,358,621	4.033
2.	Almeida, Robert	0	0.000
3.	Cohen, Dennis	0	0.000
4.	Ganteaume, Peter	412,064	0.178
5.	Ghany, Patricia	1,761	0.001
6.	Hamel-Smith, David Philip	295,124	0.127
7.	Hylton, Patrick	0	0.000
8.	Lancaster, Antony	3,517	0.002
9.	Lee-Chin, Michael ^{iii iv}	143,335,673	61.776
10.	Lok Jack, Nicholas ^v	9,426,638	4.063
11.	Percy, Charles	0	0.000
12.	Rochester, Maxim	0	0.000
13.	Tewari, Ravi	240,416	0.104
		163,073,814	70.29



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ⁱ Ahamad, Imtiaz - Including his interest through his holding in Tenetic Limited via Universal Investments Limited of which he has a 1/3 interest.

ⁱⁱ Ahamad, Imtiaz – A transfer was effected on 30 August 2021 whereby 1,214,930 Tenetic Limited shares (held via RBC Nominee Services (Caribbean) Limited were transferred to Universal Investments Limited (via RBC Nominee Services (Caribbean) Limited pursuant to a restructuring of the family business. This transfer however, did not change the shareholding of Imtiaz Ahamad.

ⁱⁱⁱ Lee-Chin, Michael – NCBGHL holds a balance of 4,548,388 shares with the JCSD and 138,777,991 shares with the Trinidad and Tobago Central Depository (TTCD) in its own name and 9,294 shares in the name of NCB Merchant Bank (Trinidad and Tobago) Limited formerly NCB Global Finance Limited with TTCD.

^{iv} Lee-Chin, Michael – 9,294 shares are held by NCB Merchant Bank (Trinidad and Tobago) Limited formerly NCB Global Finance Limited.

^v Lok Jack, Nicolas – A transfer was effected on 30 August 2021 whereby 1,214,930 Tenetic Limited shares (held via RBC Nominee Services (Caribbean) Limited were transferred to Shell Wave Limited (via RBC Nominee Services (Caribbean) Limited pursuant to a restructuring of the family business. This transfer however, did not change the shareholding of Nicholas Lok Jack.

SHAREHOLDING OF THOSE PERSONS HOLDING
TEN (10) LARGEST BLOCKS OF GHL AS AT SEPTEMBER 30, 2021

No.	NAME	SHARES	%HOLDING
1	NCB Global Holdings Limited	143,326,379	61.772%
2	Tenetic Limited	13,042,751	5.621%
3	Guardian Holdings ESOP Nominee Limited	4,978,486	2.146%
4	RBC Trust (Trinidad & Tobago) Limited	4,021,925	1.733%
5	First Citizens Asset Management Limited	3,724,535	1.605%
6	National Insurance Board	3,437,886	1.482%
7	Trinidad and Tobago Unit Trust Corporation	3,238,306	1.396%
8	Republic Bank Limited	3,116,133	1.343%
9	First Caribbean Barbados C1191	2,059,850	0.888%
10	Shell Wave Limited held via RBC Nominee Services (Caribbean) Limited	1,285,710	0.554%
	TOTAL	182,231,961	78.540%



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Notes:

- 1 *NCB Global Holdings Limited - Michael Lee Chin has a majority control position in NCBGHL which is now the beneficial owner of 61.772% of the outstanding shares in GHL.*
- 1.1 *As at 5 October, 2021 NCBGHL held a balance of 4,548,388 shares with the JCSD and 138,777,991 shares with the Trinidad and Tobago Central Depository (TTCD) in its own name.*
- 2 *Tenetic Limited's holding includes 3,252,197 shares in its own name and 9,790,554 shares in the name of Lofty Trees Limited. Tenetic Limited is owned 50-50 between Associated Brands (Investments) Limited and Universal Investments Limited. The 2,429,860 Tenetic Limited shares previously held by RBC Nominee Services (Caribbean) Limited were transferred on 30 August, 2021 to Universal Investments Limited (via RBC Nominee Services (Caribbean) Limited) and Shell Wave Limited (via RBC Nominee Services (Caribbean) Limited) pursuant to restructuring of the family business*
- 10 *Shell Wave Limited held via RBC Nominee Services (Caribbean) Limited – Nicholas Lok Jack, GHL Director, indirectly owns 1,285,710 shares.*