



## Caribbean Securities

### DESCRIPTION

A Securities Market plays a significant role in our modern world. It is beneficial to both individuals and organizations in the creation of wealth and the expansion of our Caribbean economies. Making sure we are financially secure is a vital part of how our economy grows and investing in securities is often a significant component of an investor's portfolio.

This course is designed to provide participants with the necessary level of exposure to the relevant products and services that the Securities Market has to offer so as to develop the knowledge skills and competencies needed to make informed decisions and to increase their level of financial literacy.

### TOPICS COVERED

- The Caribbean Investment Marketplace
- Basic Economic Concepts and their Implications for Businesses
- Ethics and Best Practices of the Caribbean Securities Industry
- The Equities and Debt Market
- Equity Valuation
- Fundamentals of Portfolio Management
- Working with Retail Clients

## Brokerage Operations

### DESCRIPTION

This course will provide students with the baseline knowledge of the entire trade lifecycle from the point of execution to clearance and settlement. It is intended to build the competencies of entry level brokerage personnel and supervisors.

This Post Graduate Professional Certificate course focuses on products and processes in financial services. It analyzes the operations of the front, mid and back offices of the securities dealer to create a comprehensive understanding in each participant thereby improving overall operational effectiveness, customer and staff relationships via cooperation and compliance.

### TOPICS COVERED

- Introduction to Brokerage Operations
- Regulations in Brokerage Operations
- Internal Controls, Risk and Compliance
- The Market Place
- Establishing and Maintaining Business Relationships
- Order Management/Client Servicing
- Order/Trade Execution
- Settlement
- Security Administration
- Brokerage Accounting
- Records Management
- Developments in International Brokerage Operations

## **FX Trader**

### **DESCRIPTION**

The Forex market can seem complex and daunting to novice investors. But, like any other financial markets, Forex is traded with recognizable patterns and clearly defined technical applications, all of which can be learned. The course is designed to provide understanding of the best market practices, and the principles of good market practice in Foreign Exchange markets.

Participants will have both a thorough understanding of the principles as well as their application, to enable them to understand the expectations on them to promote integrity and effective functioning of the FX Market globally. These are some of the reasons for exposing students/participants to the field.

### **TOPICS COVERED**

- Foreign Currency Basics
- Risks of the FX market & Technical vs Fundamental Analysis
- Reading & Analysis of FX Charts
- The Art of Currency Trading
- Forex Trading Strategies
- Developing a Trading Plan
- Money Management
- Trading Errors
- FX Trading Demo